

TRANSMITTAL LETTER

Serial No. 10/662,796	Filing Date September 15, 2003	Examiner Not yet assigned	Case No. 9046/31
Inventor(s) Wong et al.			Group Art Unit Not yet assigned
Title of Invention FREQUENCY CONTROLLED LIGHTING SYSTEM			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an Information Disclosure Statement (in duplicate); Form PTO-1449; 24 Cited References (A177-A200); Return Postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

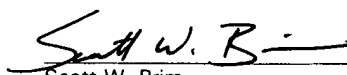
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 43 =	
+ \$145 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$86 =	
+ \$290 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

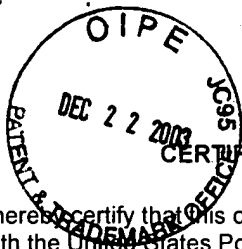


Scott W. Brim
Registration No. 51,500
Attorney for Applicant
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 12/15/03

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12/15/03

Date of Deposit

Scott W. Brim, Reg. No. 51,500

Name of Applicant, Assignee or
Registered Representative

Scott W. Brim

Signature

12/15/03

Date of Signature

Our Case No.: 9046/31

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wong et al.

Serial No.: 10/662,796

Filing Date: September 15, 2003

For: FREQUENCY CONTROLLED
LIGHTING SYSTEM

Examiner: Not yet assigned

Group Art Unit No.: Not yet assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Pursuant to the waiver of the rules change effective June 30, 2003 Information Disclosure Statements may be filed without copies of U.S. patents and U.S. published applications for applications filed after June 30, 2003, (see 37 CFR 1.98 (a) (2) (i)), copies of U.S. patent documents are not required and therefore are not being submitted herewith inasmuch as the captioned application was filed in the USPTO after June 30, 2003.

The references now cited are the following:

US DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
1,597,823	08/1926	Randolph
1,933,243	10/1933	De Merolis et al.
2,572,760	10/1951	Rikelman
2,634,407	04/1953	Johnson
2,671,209	03/1954	Habib
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4,009,387	02/1977	Nuver
4,014,115	03/1977	Reichert
4,020,572	05/1977	Chiaromonte, Jr.
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WO 93/11681	01/1993	PCT
GB 2 361 624 A	10/2001	United Kingdom

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

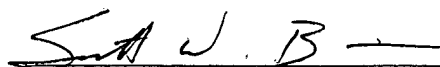
Combined Search and Examination Report dated July 15, 2003, for corresponding United Kingdom application GB 0306157.9.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

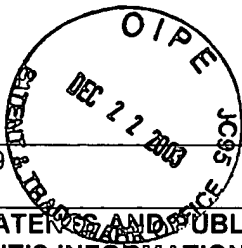
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Scott W. Brim", is written over a horizontal line.

Scott W. Brim
Registration No. 51,500
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



FORM PTO-1449	SERIAL NO. 10/662,796	CASE NO. 9046/31
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 15, 2003	GROUP ART UNIT Not yet assigned
(use several sheets if necessary)		APPLICANT(S): Wong et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	1,597,823	08/1926	Randolph		
	A2	1,933,243	10/1933	De Merolis et al.		
	A3	2,572,760	10/1951	Rikelman		
	A4	2,634,407	04/1953	Johnson		
	A5	2,671,209	03/1954	Habib		
	A6	2,671,847	03/1954	Lerch		
	A7	2,816,284	12/1957	Campanell		
	A8	2,849,819	09/1958	Murphy et al.		
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	A11	2,976,622	03/1961	Shearouse		
	A12	3,008,038	11/1961	Dickens et al.		
	A13	3,053,949	09/1962	Johnson		
	A14	3,070,907	01/1963	Rocco		
	A15	3,502,831	03/1970	McRoskey		
	A16	3,564,232	02/1971	Ellerbe		
	A17	3,731,022	05/1973	Loftus		
	A18	3,800,133	03/1974	Duval		
	A19	3,893,247	07/1975	Dana, III		
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	A21	3,968,641	07/1976	Moyer		
	A22	4,009,387	02/1977	Nuver		
	A23	4,014,115	03/1977	Reichert		
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	A25	4,128,861	12/1978	Pelengaris		
	A26	4,130,951	12/1978	Powell		
	A27	4,158,922	06/1979	Dana, III		
	A28	4,231,079	10/1980	Heminover		
	A29	4,253,253	03/1981	McCormick		
	A30	4,298,917	11/1981	Ware		
	A31	4,308,572	12/1981	Davidson et al.		
	A32	4,350,853	09/1982	Ganyard		
	A33	4,363,502	12/1982	Bakerman		
	A34	4,367,515	01/1983	Beard		
	A35	4,412,205	10/1983	Von Kemenczky		
	A36	4,422,719	12/1983	Orcutt		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

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	A37	4,459,645	07/1984	Glatter		
	A38	4,463,412	07/1984	Broach		
	A39	4,489,957	12/1984	Holmgren		
	A40	4,518,274	05/1985	Hanggi		
	A41	4,588,387	05/1986	Swenson		
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	A43	4,765,701	08/1988	Cheslak		
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	A45	4,848,009	07/1989	Rodgers		
	A46	4,870,325	09/1989	Kazar		
	A47	4,897,947	02/1990	Kass-Pious		
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	A67	5,357,697	10/1994	Lin		
	A68	5,371,662	12/1994	Shen-Ko		
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	A72	5,400,232	03/1995	Wong		
	A73	5,406,724	04/1995	Lin		
	A74	5,408,764	04/1995	Wut		
	A75	5,419,061	05/1995	Barrocas		
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	A76	5,422,628	06/1995	Rodgers		
	A77	5,438,488	08/1995	Dion		
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	A79	5,455,749	10/1995	Ferber		
	A80	5,456,032	10/1995	Matsumoto et al.		
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	A83	5,463,537	10/1995	Trattner et al.		
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	A86	5,477,435	12/1995	Rapisarda et al.		
	A87	5,477,437	12/1995	Lach		
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	A91	5,495,136	02/1996	Chiang et al.		
	A92	5,495,682	03/1996	Chen		
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	A95	5,516,149	05/1996	Moore		
	A96	5,523,928	06/1996	Kim		
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	A99	5,550,721	08/1996	Rapisarda		
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	A106	5,599,088	02/1997	Chien		
	A107	5,604,999	02/1997	Barker		
	A108	5,617,304	04/1997	Huang		
	A109	5,644,858	07/1997	Bemis		
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	A111	5,653,523	08/1997	Roberts		
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	A114	5,664,862	09/1997	Redmond et al.		
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A115	5,673,996	10/1997	Ducker		
	A116	5,683,164	11/1997	Chien		
	A117	5,709,464	01/1998	Tseng		
	A118	5,716,119	02/1998	Patel		
	A119	5,722,757	03/1998	Chien		
	A120	5,730,520	03/1998	Hsu et al.		
	A121	5,730,539	03/1998	Chabria		
	A122	5,732,486	03/1998	Rapisarda		
	A123	5,735,592	04/1998	Shu		
	A124	5,746,499	05/1998	Ratcliffe et al.		
	A125	5,746,500	05/1998	Chien		
	A126	5,754,064	05/1998	Chien		
	A127	5,758,946	06/1998	Chen		
	A128	5,779,344	07/1998	Tseng		
	A129	5,789,716	08/1998	Wang		
	A130	5,812,063	09/1998	Weng et al.		
	A131	5,813,148	09/1998	Guerra		
	A132	5,821,858	10/1998	Stone		
	A133	5,839,814	11/1998	Roberts		
	A134	5,844,377	12/1998	Anderson et al.		
	A135	5,855,382	01/1999	Reilly et al.		
	A136	5,866,987	02/1999	Wut		
	A137	5,894,201	04/1999	Wong		
	A138	5,903,103	05/1999	Garner		
	A139	5,909,088	06/1999	Wut		
	A140	5,921,653	07/1999	Chien		
	A141	5,932,975	08/1999	Wut		
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	A143	5,945,911	08/1999	Healy et al.		
	A144	5,955,712	09/1999	Zakutin		
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	A146	5,969,311	10/1999	Mader		
	A147	5,969,479	10/1999	Wong		
	A148	6,012,822	01/2000	Robinson		
	A149	6,060,673	05/2000	Jackman		
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	A151	6,094,141	07/2000	Tsai		
	A152	6,099,185	08/2000	Huang et al.		
	A153	6,104,140	08/2000	Wut et al.		

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FORM PTO-1449	SERIAL NO. 10/662,796	CASE NO. 9046/31
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 15, 2003	GROUP ART UNIT Not yet assigned
(use several sheets if necessary)		APPLICANT(S): Wong et al.

REFERENCE DESIGNATION

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	A154	6,106,132	08/2000	Chen		
	A155	6,112,437	09/2000	Lovitt		
	A156	6,129,473	10/2000	Shu		
	A157	6,145,999	11/2000	Van Derlande		
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	A161	RE 37,220 E	06/2001	Rapisarda et al.		
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	A163	6,246,186 B1	06/2001	Nieberger		
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	A176	US 2003/0185019 A1	10/2003	Rogers et al.		

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EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
L	A177	CN 2063363 U	10/1990	China		
✓	A178	CN 2084731 U	09/1991	China		
✓	A179	CN 2096471 U	02/1992	China		
✓	A180	CN 2096910 U	02/1992	China		
✓	A181	CN 2131725 Y	05/1993	China		
✓	A182	CN 2167582 Y	06/1994	China		
✓	A183	CN 2198731 Y	05/1995	China		
✓	A184	CN 2448567 Y	09/2001	China		
✓	A185	EP 0 121 026 A1	06/1993	Europe		
✓	A186	EP 0 335 467 B1	06/1993	Europe		
✓	A187	EP 0 427 920 B1	06/1994	Europe		

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FOREIGN PATENT DOCUMENTS

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✓	A188	EP 0 773 529 B1	05/1997	Europe		
✓	A189	JP 354-133766A	10/1979	Japan		
✓	A190	JP 355-080376A	06/1980	Japan		
✓	A191	JP 54-133766A	10/1989	Japan		Yes
✓	A192	JP 405-021188A	01/1993	Japan		
✓	A193	JP 7-112596	05/1995	Japan		
✓	A194	JP 10-232635	09/1998	Japan		Yes (Abs.)
✓	A195	JP 10-309889	11/1998	Japan		
✓	A196	JP 11-7802	01/1999	Japan		
✓	A197	JP 2000-37989A	02/2000	Japan		
✓	A198	WO 93/11681	01/1993	PCT		
✓	A199	GB 2 361 624 A	10/2001	United Kingdom		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
✓	A200	Combined Search and Examination Report dated July 15, 2003, for corresponding United Kingdom application GB 0306157.9.

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